

17410 U.S. PTO
10/609255
06/26/03

Attorney Docket Number : 2003-042
First Inventor or : Dominique Charmot et al.
Application Identifier :
Title : Removal Of The Thiocarbonylthio Or
Thiophosphorylthio End Group Of Polymers And
Further Functionalization Thereof
Express Mail Label No. : EL 910746233US
Date of Deposit : June 26, 2003

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above, addressed to: Mail Stop Patent Application, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450

By:

Suzanne Shadley
Suzanne Shadley

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

UTILITY PATENT APPLICATION TRANSMITTAL

APPLICATION ELEMENTS

1. ☒ FEE

TOTAL AMOUNT OF PAYMENT: \$537.00

METHOD OF PAYMENT

The Commissioner is hereby authorized to charge the filing fee and any other fees and credit any overpayments to:

Deposit Account Number: 50-0496

Deposit Account Name: Symyx Technologies

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

FEE CALCULATION

BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|--------------------|--------------------|
| 1001 | 750 | 2001 | 375 | Utility filing fee | \$375.00 |
| 1002 | 330 | 2002 | 165 | Design filing fee | |
| 1003 | 520 | 2003 | 260 | Plant filing fee | |
| 1004 | 750 | 2004 | 375 | Reissue filing fee | |
| SUBTOTAL (1) | | | | | (\$) 375.00 |

EXTRA CLAIM FEES

| Extra Claims | | | | Fee from below | | Fee Paid | |
|---|----|---------|----|----------------|---|----------|--------------------|
| Total Claims | 38 | -20** = | 18 | X | 9 | = | \$162.00 |
| Independent Claims | 3 | -3** = | 0 | X | | = | \$ |
| Multiple dependent claims (first appearance) \$ 280/140 | | | | | | | \$ |
| SUBTOTAL (2) | | | | | | | (\$) 162.00 |

**or number previously paid, if greater; For Reissues, see below

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
|----------------------|-----------------------|----------------------|-----------------------|---|
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 84 | 2201 | 42 | Independent claims in excess of 3 |
| 1203 | 280 | 2203 | 140 | Multiple dependent claim, if not paid |
| 1204 | 84 | 2204 | 42 | **Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | | | (\$) 537.00 |

2. ☐ Applicant claims small entity status. 37 C.F.R. § 1.27.

3. ☒ Specification [Total Pages 42]

4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets]

5. ☐ Oath or Declaration [Total Pages 3]

a. ☐ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 18 completed) [Note Box 5 below]

i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33 (b)

6. ☒ Application Data Sheet 37 C.F.R. §1.76 [Total Pages 2]

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. ☐ Nucleotide and/or Amino Acid Sequence Submission ☐ Computer Readable Form (CRF)
(if applicable, or necessary) ☐ Spec. Sequence Listing on
☐ CD-ROM or CD-R (2 copies)
☐ paper
☐ Stmt. verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet and document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment [Total Pages 15]
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i)
(attach PTO/SB/35 or equivalent)
17. ☐ Other: Associate Power of Attorney [Total Pages]
18. **IF A CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ Non-Provisional of Provisional
of prior application No: _____
- Prior application information: Examiner: _____ Group/Art Unit: _____

18. CORRESPONDENCE ADDRESS:

☒ Customer Number or Bar Code Label

Customer No. 22905
(Insert Customer No. or Attach bar code label here)

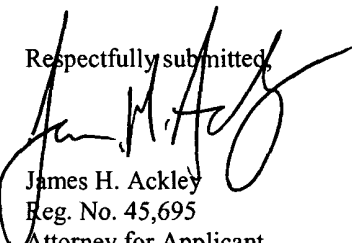
or

☐ Correspondence Address below:

Date:

June 26, 2003

Respectfully submitted,


James H. Ackley

Reg. No. 45,695

Attorney for Applicant

Symyx Technologies, Inc.
3100 Central Expressway
Santa Clara, CA 95051
Tel.: 408-764-2000
Fax: 408-773-4029